

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q77378

Shinichi NAGAO, et al.

Appln. No.: 10/657,155

Group Art Unit: 1621

Confirmation No.: 1039

Examiner: Not Yet Assigned

Filed: September 9, 2003

For:

PROCESS FOR PRODUCING TRIMELLITIC ACID

STATEMENT UNDER 37 C.F.R. § 1.704(d)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was cited in a communication from a foreign patent office in a counterpart foreign application, and that the communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of said Information Disclosure Statement.

Respectfully submitted.

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373
CUSTOMER NUMBER

Date: January 20, 2004

Brett S. Sylvester

Registration No. 32,765



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re application of

Docket No: Q77378

Shinichi NAGAO, et al.

Appln. No.: 10/657,155

Group Art Unit: 1621

Confirmation No.: 1039

Examiner: Not Yet Assigned

Filed: September 9, 2003

For: _PROCESS FOR PRODUCING TRIMELLITIC ACID

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits (whichever is later), and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 10/657,155

The present Information Disclosure Statement is being filed thirty days or fewer from the communication from a foreign patent office and a Statement Under 37 C.F.R. §1.704(d) is attached.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Respectfully submitted

Brett S. Sylvester Registration No. 32,765

SUGHRUE MION, PLLC Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE 23373 CUSTOMER NUMBER

Date: January 20, 2004

a) .			Complete if Known		
Substitute for Form 1449 A & B/PTO			Application Number	10/657,155	
P E INFORMATION	DISCLOSU	JRE	Confirmation Number	1039	
PE STATEMENT BY	APPLICA	NT	Filing Date	September 9, 2003	
• T			First Named Inventor	Shinichi NAGAO	
(use as many sheet	s as necessarv	,)	Art Unit	1621	
	,	,	Examiner Name	Not Yet Assigned	
Slate 1	of	1	Attorney Docket Number	Q77378	

U.S. PATENT DOCUMENTS						
	City	Document Number		Publication Date		
Examiner Initials*	Cite No.1	Number	Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
		·	-			

FOREIGN PATENT DOCUMENTS							
Examiner Cite Initials* No.1	Cite	Foreign Patent Document			Publication Date	Name of Patentee or	
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation ⁶
		WO	98 55441	A	12/10/1998		
		EP	1 167 335	A	01/02/2002		
		GB	907 926	A	10/10/1962		
		ļ					
		1	 				-
			┪───		 		
		<u> </u>					
		1					
					1		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation		

			i	
Examiner Signature		Date Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

^{&#}x27;Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ³Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.